

# An Ultrametric Route towards Berry-Keating

Debashis Ghoshal

School of Physical Sciences  
Jawaharlal Nehru University  
New Delhi

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This talk is based on an ongoing collaboration with  
Arghya Chattopadhyay, Parikshit Dutta  
and Suvankar Dutta.

**Caution:** There are loose ends!

# Outline

Introduction & Motivation

Phase Space Description of the Unitary Matrix Model

Unitary Matrix Model for the Symmetric Zeta-function

UMM for the Local  $\zeta$ -function and Attempts at a Synthesis

# Zeta function: infinite sum and product

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- ▶ extended to real  $s > 1$  by Chebyshev.
- ▶ analytically continued to the complex  $s$ -plane as a meromorphic function by Riemann.



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The **symmetric function**  $\xi(s) = \frac{1}{2} \pi^{-s/2} s(s-1) \Gamma\left(\frac{s}{2}\right) \zeta(s)$  is an **entire function** that satisfies  $\xi(s) = \xi(1-s)$ . Its zeroes are at the non-trivial zeroes of  $\zeta$ , at  $s = \gamma_m = \frac{1}{2} + it_m$ .

## Zeroes as the spectrum

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Rudnick-Sarnak extended it to higher correlators, also to zeroes of

Dirichlet  $L$ -functions: 
$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \prod_{p \in \text{primes}} \frac{\chi(p)}{(1 - p^{-s})}$$

# Search for the Hamiltonian

**Berry-Keating** proposed the quantization of the classical  $xp$   
**Hamiltonian** :  $H_{BK} = (xp + px) = -2i\hbar \left( x \frac{d}{dx} + \frac{1}{2} \right)$ .

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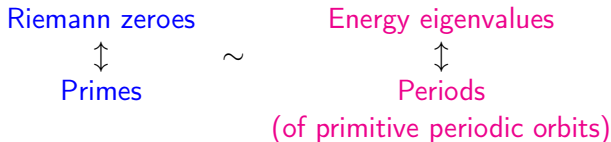
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Restrict the values of  $x$  and  $p$ .

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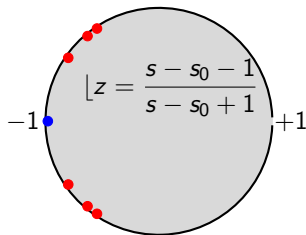
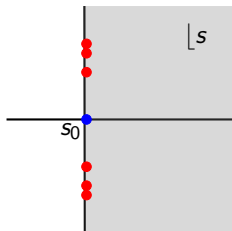
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# Conformal map

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The eigenvalues of large  $N \times N$  unitary matrices gives a density  $\rho(\theta) = \sum \delta(\theta - \theta_i)$  (distribution function) on the unit circle. Given a distribution on the line  $\text{Re } s = s_0$ , one can find a Gaussian Unitary Ensemble (GUE) such that its eigenvalue distribution is related to it.



$$s - s_0 = \frac{1 + z}{1 - z} = \frac{1 + e^{i\theta}}{1 - e^{i\theta}} = i \cot \frac{\theta}{2}$$

# One-plaquette UMM

The partition function of the **one-plaquette model** is defined by:

$$\mathcal{Z} = \int \mathcal{D}U \exp \left[ -N \sum_{n=0}^{\infty} \frac{\beta_n}{n} \left( \text{Tr } U^n + \text{Tr } U^{\dagger n} \right) \right] = \int \prod_{i=1}^N \frac{d\theta_i}{2\pi} e^{-N^2 S_{\text{eff}}(\theta_i)}$$

$$\text{where, } S_{\text{eff}}(\theta_i) = \sum_{n=1}^{\infty} \sum_{i=1}^N \frac{2\beta_n}{n} \cos(n\theta_i) + \frac{1}{2} \sum_{i \neq j} \ln \left( 4 \sin^2 \frac{\theta_i - \theta_j}{2} \right)$$

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In the large  $N$  limit,  $x = \frac{i}{N} \in [0, 1]$  and  $\theta_i \rightarrow \theta(x)$

$$S[\theta] = \sum_{n=1}^{\infty} \int_0^1 dx \frac{2\beta_n}{n} \cos n\theta(x) + \frac{1}{2} \int_0^1 dx \int_0^1 dy \ln \left( 4 \sin^2 \frac{\theta(x) - \theta(y)}{2} \right)$$

# Saddle point

The **saddle point** of the action, determined by

$$\int \frac{d\theta'}{2\pi} \rho(\theta') \cos\left(\frac{\theta - \theta'}{2}\right) = \sum_{n=1}^{\infty} 2\beta_n \sin n\theta \quad \left( = \frac{dV(\theta)}{d\theta} \right)$$

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the **resolvent**  $R(z) = \frac{1}{N} \left\langle \text{Tr} \left( \frac{1}{1 - zU} \right) \right\rangle$  and find  $\beta_n$  from the

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$$\rho(\theta) = 2\text{Re} [R(e^{i\theta})] - 1 = \frac{1}{2\pi} \lim_{\epsilon \rightarrow 0} \left[ R((1+\epsilon)e^{i\theta}) - R((1-\epsilon)e^{i\theta}) \right]$$

## UMM in terms of Irreps (Schematic)

The PF of a UMM can be expanded in terms of the **irreducible representations (irreps)** of  $U(N)$

$$\mathcal{Z} \sim \sum_{R \in \text{irreps}} \sum_{\vec{k}, \vec{\ell}} \alpha(\vec{\beta}, \vec{k}) \alpha(\vec{\beta}, \vec{\ell}) \chi_R(C(\vec{k})) \chi_R(C(\vec{\ell}))$$

(where  $\chi_R(C(\vec{k}))$  is the **character** of the **conjugacy class**  $C(\vec{k})$  of the **permutation group**  $S_{K=\sum n k_n}$ ).

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(where  $\chi_R(C(\vec{k}))$  is the **character** of the **conjugacy class**  $C(\vec{k})$  of the **permutation group**  $S_{K=\sum n k_n}$ .) The following have been used

$$\prod_n (\text{Tr } U^n)^{k_n} = \sum_R \chi_R(C(\vec{k})) \text{Tr}_R(U)$$

$$\int \mathcal{D}U \text{Tr}_R(U) \text{Tr}_{R'}(U^\dagger) = \delta_{RR'}$$

# Young diagrams and momenta

Irreps can be labelled by the **number of boxes** in **Young diagrams**.  
In the **large  $N$**  limit

$$\mathcal{Z} = \int \mathcal{D}h(x) \int d\vec{k} d\vec{\ell} \exp\left(-N^2 S_{\text{eff}}[h(x), \vec{k}, \vec{\ell}]\right)$$

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The variable  $h$  are the **conjugate momenta** of the eigenvalues  $\theta$ .

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There is a density  $\Omega(\theta, h)$  in the phase space, such that

$$\int dh \Omega(\theta, h) = \rho(\theta) \quad \text{and} \quad \int d\theta \Omega(\theta, h) = u(h)$$

Phase space description can lead to a Hamiltonian.

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- ▶ Compare the density  $\rho(\theta) = \sum \delta(\theta - \theta_i)$  to the resolvent
- ▶ This determines the parameters of the one plaquette model:

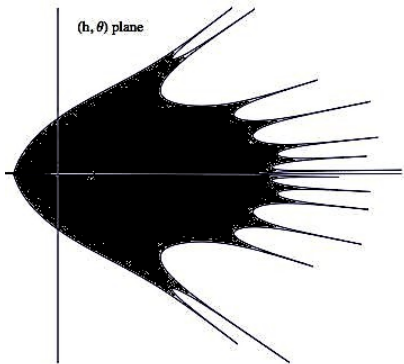
$$\beta_n = -\frac{1}{2n \ln 2} \lambda_n = \frac{1}{2 \ln 2} \oint_{C_1} \frac{ds}{2\pi i} \frac{s^{n-1}}{(s-1)^n + 1} \ln \xi(s)$$

in terms of the Li numbers

$$\lambda_n = \frac{1}{(n-1)!} \frac{d^n}{ds^n} s^{n-1} \ln \xi(s) \Big|_{s=1} = \sum_i \left[ 1 - \left( 1 - \frac{1}{\gamma_i} \right)^n \right]$$

# Phase space density of the UMM of $\xi(s)$

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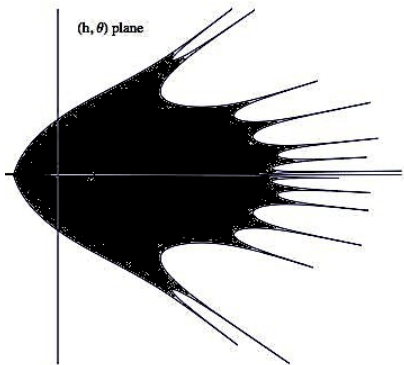


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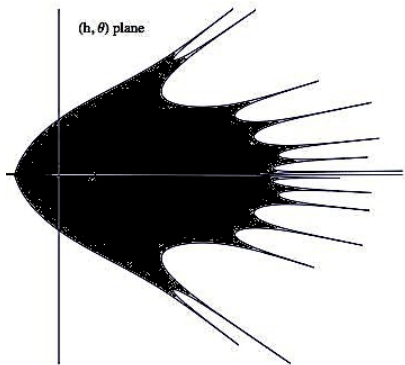
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The **prime power counting function**  $J(x)$  jumps by  $1/n$  at every  $p^n$ :

$$\begin{aligned} J(x) &= \sum_{p,n} \Theta(x - p^n) \\ &= \langle J \rangle(x) + \tilde{J}(x) \end{aligned}$$

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It turns out that  $h(x) \sim \tilde{J}(x)$ , the **fluctuating part** of the counting function.

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# Local zeta and the resolvent

The local zeta function at the prime  $p$ ,  $\zeta_p(s) = (1 - p^{-s})^{-1}$  does not have any zero, but has equally spaced simple poles at  $s = \frac{2\pi i}{\ln p} n$  ( $n \in \mathbb{Z}$ ) on the vertical line  $\text{Re}(s) = 0$ .

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These poles can be brought on the unit circle on  $z = \frac{s-1}{s+1}$  plane.

$$R_{<}(z) = 1 + \frac{z}{(1-z)^2} \frac{p^{-s(z)}}{1-p^{-s(z)}}, \quad R_{>}(z) = -\frac{z}{(1-z)^2} \frac{p^{s(z)}}{1-p^{s(z)}}$$

The resolvent above satisfies all the properties ( $R_{<}(0) = 1$ ,  $R_{>}(z \rightarrow \infty) = 0$  and  $R_{<}(z) + R_{>}(1/z) = 1$ ). **(Caveat)**

# A well-known measure

As everyone knows

$$\int_{\mathbb{Z}_p^\times} |h|_p^{s-1} dh = \frac{(1-p^{-1})p^{-s}}{(1-p^{-s})}, \quad \mathbb{Z}_p^\times = \{h \in \mathbb{Q}_p : |h|_p < 1\}$$

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$$\text{So } 2R_<(z) - 1 = p \int_{\mathbb{Z}_p^\times} dh \left( 1 + \frac{2z}{(p-1)(1-z)^2} |h|_p^{\frac{1+z}{1-z}-1} \right)$$



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This is suggestive of a phase space density

$$\Omega_p(\theta, h) = p - \frac{p}{2(p-1) \sin^2\left(\frac{\theta}{2}\right)} |h|_p^{-i \cot\left(\frac{\theta}{2}\right)-1} \sim p - \frac{p^{-in \cot\left(\frac{\theta}{2}\right)}}{2(p-1) \sin^2\left(\frac{\theta}{2}\right)}$$

# Vladimirov derivative and Kozyrev wavelets

$p^{-n\alpha}$  is the eigenvalue of the generalized Vladimirov derivative  $D_{(p)}^\alpha$  for any complex number  $\alpha$ :

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The **eigenfunctions**  $|\psi_n\rangle$  are  $p$ -adic **wavelets** of **Kozyrev**.  
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The **Hilbert space**  $\mathcal{H}_{(p)}$  of the quantum Hamiltonian is expected to be spanned by the **Kozyrev** wavelets, which are eigenfunctions of the **Vladimirov derivative**.

# Parameters of the UMM<sub>p</sub>

Recall that the parameters  $\beta_m = \oint \frac{dz}{z^{m+1}} R_{<}(z)$ .

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$$\sum_p \ln p \frac{dJ_p(\xi)}{d\xi} = \frac{d\psi(\xi)}{d\xi} = 1 - \underbrace{\sum_i \xi^{\gamma_i - 1}}_{\text{non-trivial zeroes}} - \sum_n \xi^{2n-1}$$

# Divergence

Keeping only the non-trivial zeroes  $\gamma_i$

$$\beta_m \sim \int d\xi \xi^{-i \cot \frac{\theta}{2} + \gamma_i - 1} = \int d(\ln \xi) e^{\operatorname{Re}(\gamma_i) \ln \xi + i(\operatorname{Im}(\gamma_i) - \cot \frac{\theta}{2}) \ln \xi}$$

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Clearly  $\mu$  has to be independent of  $i$ . The reflection symmetry of  $\zeta$ -function implies that  $\mu > 1$  and if Riemann hypothesis is true  $\mu > \frac{1}{2}$ .

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Leads to a **one-parameter family of Hamiltonians**

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Similar approach to the **Dirichlet  $L$ -functions**, and indeed other more general  $L$ -functions, may be worth the effort.

In summary, we attempt to get to the elusive Hamiltonian for the zeta-function by starting at the local zeta-function at the  $p$ -th place. This suggests a phase space picture with the hint of a Hamiltonian. We attempt to combine this for all primes.



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¡Gracias!

Thank you!